



3629

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

112

Application Number

09/848,101

Filing Date

May 3, 2001

First Named Inventor

Gruber et al.

Art Unit

3629

Examiner Name

Nguyen, T.

Attorney Docket Number

51051.000012.UTL1

## ENCLOSURES (Check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/Incomplete Application
  - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
  - ☐ Petition to Convert to a Provisional Application
  - ☐ Power of Attorney, Revocation
  - ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Forms PTO/SB/08 (4 pgs.); Copies of 9 Cited References (103 pgs.) and Return Receipt Postcard

### Remarks

The Commissioner is hereby authorized to charge any fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 02-4553 in the name of Buchanan Ingersoll Professional Corp..

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Buchanan Ingersoll P.C., One Oxford Centre, 301 Grant Street, 20th Floor, Pittsburgh, PA 15219-1410

Signature

Printed name

James W. Collett, Ph.D.

Date

January 26, 2005

Reg. No.

46,636

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Gregory P. Abstin

Typed or printed name

Gregory P. Abstin

Date

January 26, 2005

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51051.000012.UTL1  
U.S. PATENT

**UNITED STATES PATENT AND TRADEMARK OFFICE**

The Application of ) Group Art Unit: 3629  
)  
Gruber et al. ) Examiner: Nguyen, T.  
)  
Serial No.: 09/848,101 ) Confirmation No.: 7084  
)  
Filed: May 3, 2001 ) Customer No.: 23464  
)  
For: METHOD AND SYSTEM FOR )  
EFFICIENT COMMUNICATION AND )  
RELATIONSHIP MANAGEMENT )

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97-1.98**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

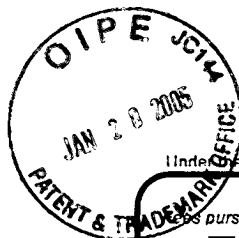
Dear Sir:

This Information Disclosure Statement is being filed after receipt of a first Office Action on the merits with respect to the above-captioned application. Thus, a fee in the amount of \$180 is believed due for filing this paper. The Commissioner is hereby authorized to charge the requisite fee, or any fees that may be due in connection with this and the attached papers, or with this application during its entire pendency, or to credit any overpayment, to Deposit Account No. 02-4553.

In accordance with 37 C.F.R. §1.56 and 37 C.F.R. §§1.97-1.98, this Information Disclosure Statement, including Forms PTO-SB/08 (4 pages), is provided herewith.

The Examiner's attention is directed to the following U.S. applications:

<u>U.S. App. Serial. No.</u>	<u>Filing Date</u>
10/290,678	11/08/2002
09/894,190	06/27/2001
10/388,824	03/13/2003
10/241,684	09/10/2002
10/041,887	01/07/2002
09/819,358	03/28/2001



PTO/SB/17 (12-04v2)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

As pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

**Complete if Known**

Application Number	09/848,101
Filing Date	May 3, 2001
First Named Inventor	Gruber et al.
Examiner Name	Nguyen, T.
Art Unit	3629
Attorney Docket No.	51051.000012.UTL1

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 02-4553 Deposit Account Name: Buchanan Ingersoll Professional Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 during the entire pendency☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
--------------	--------------	----------	---------------

- 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
---------------	--------------	----------	---------------

- 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
--------------	--------------	--	----------	---------------

\_\_\_\_\_ - 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Submission of Information Disclosure Statement

Fees Paid (\$)

\$180

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 46,636	Telephone 619-578-5000
Name (Print/Type)	James W. Collett, Ph.D.		Date January 26, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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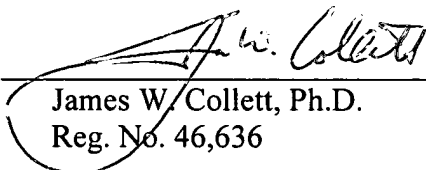
09/782,722	02/13/2001
10/252,026	09/20/2002
10/323,951	12/18/2002
10/439,899	05/15/2003
09/927,656	08/10/2001
10/004,900	11/02/2001
09/740,761	12/12/2000
09/800,389	03/06/2001
09/742,866	12/20/2000
10/004,014	10/18/2001

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 C.F.R. §1.56. The filing of this Information Disclosure Statement is not an admission by Applicants or Applicants' representatives that any of the documents, singly or in any combination, is effective as prior art against the subject application. Additionally, in accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists in addition to those submitted herewith.

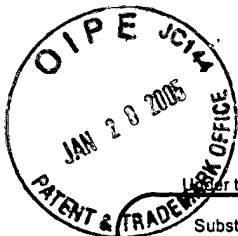
Applicants respectfully request that the Examiner review the foregoing documents and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

BUCHANAN INGERSOLL P.C.

By:   
James W. Collett, Ph.D.  
Reg. No. 46,636

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PTO/SB/08A (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/848,101
Filing Date	May 3, 2001
First Named Inventor	Gruber et al.
Art Unit	3629
Examiner Name	Nguyen, T.
Attorney Docket Number	51051.000012.UTL1

Sheet 1 of 4

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2002/0049816 A1	04-25-2002	Costin, IV et al.	
		US- 6,460,072 B1	10-01-2002	Arnold et al.	
		US- 2002/0004757 A1	01-10-2002	Torres et al.	
		US- 2002/0004749 A1	01-10-2002	Froseth et al.	
		US- 2002/0038225 A1	03-28-2002	Klasky et al.	
		US- 2002/0080175 A1	06-27-2002	Hautt et al.	
		US- 2002/0042821 A1	04-11-2002	Muret et al.	
		US- 2002/0029179 A1	03-07-2002	Gruber et al.	
		US- 2002/0073026 A1	06-13-2002	Gruber et al.	
		US- 2002/0091538 A1	07-11-2002	Schwartz et al.	
		US- 2002/0111904 A1	08-15-2002	Gruber et al.	
		US- 2002/0165759 A1	11-07-2002	Gruber et al.	
		US- 2002/0178139 A1	11-28-2002	Chen et al.	
		US- 2003/0003434 A1	01-02-2003	Gruber et al.	
		US- 2003/0033244 A1	02-13-2003	Feig et al.	
		US- 2003/0088455 A1	05-08-2003	Gruber et al.	
		US- 2003/0130888 A1	07-10-2003	Daniher	
		US- 2003/0175674 A1	09-18-2003	Gruber et al.	
		US- 2004/0049399 A1	03-11-2004	Familian et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP 07-225801 A	08-22-1995	Hitachi Ltd. et al.		*
		DE 003346275 A1	07-11-1985	Brehm Robert Dr.		*

Examiner  
SignatureDate  
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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\*English language abstract attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/848,101
Filing Date	May 3, 2001
First Named Inventor	Gruber et al.
Art Unit	3629
Examiner Name	Nguyen, T.
Attorney Docket Number	51051.000012.UTL1

Sheet **2** of **4****U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2004/0059793 A1	03-25-2004	Gruber et al.	
		US- 2004/0093226 A1	05-13-2004	Gruber	
		US- 2004/0122682 A1	06-24-2004	Gruber et al.	
		US- 2002/0078057 A1	06-20-2002	Wang et al.	
		US- 2003/0225853 A1	12-04-2003	Wang et al.	
		US- 6,603,955 B2	08-05-2003	Gruber et al.	
		US- 5,879,163 A1	03-09-1999	Brown et al.	
		US- 6,086,381 A1	07-11-2000	Downs et al.	
		US- 6,064,978 A1	05-16-2000	Gardner et al.	
		US- 5,506,393 A1	04-09-1996	Ziarno	
		US- 6,519,572 B1	02-11-2003	Riordan et al.	
		US- 6,539,446 B1	03-25-2003	Chan	
		US- 4,965,719 A1	10-23-1990	Shoens et al.	
		US- 5,665,952 A1	09-09-1997	Ziarno	
		US- 6,535,871 B1	03-18-2003	Romansky et al.	
		US- 2003/0074461 A1	04-17-2003	Kang et al.	
		US- 6,684,369 B1	01-27-2004	Bernardo et al.	
		US- 6,714,928 B1	03-30-2004	Calow	
		US- 2004/0205604 A1	10-14-2004	Read	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	3	of	4
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**Complete if Known**

Application Number	09/848,101
Filing Date	May 3, 2001
First Named Inventor	Gruber et al.
Art Unit	3629
Examiner Name	Nguyen, T.
Attorney Docket Number	51051.000012.UTL1

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	09/848,101
		Filing Date	May 3, 2001
		First Named Inventor	Gruber et al.
		Art Unit	3629
		Examiner Name	Nguyen, T.
Sheet 4	of 4	Attorney Docket Number 51051.000012.UTL1	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Gordon-Murnane, "Campaign 2000 – Public Policy, Party, and Candidate Sites", Searcher, Feb. 2000, 8(2)	
		www.ecfa.org	
		Lemay, "Teach Yourself Web Publishing with HTML 4 in 14 days: Second Professional Reference Edition", Sams Publishing, 1997, pp. 33-43, 55-58, 401, 411-415, 792-795	
		Smith, et al., "The Impact of Direct Marketing Appeals on Charitable Marketing Effectiveness", Journal of the Academy of Marketing Science, 1996, 24(3):219-231	
		www.family.org	
		"PGA Buick Open: Buick Open Raises \$245,000 for Charities", Sports Editors/Golf Writers & Columnists, Nov. 1990	
		"So, You Think You Know About Construction: Win a Weekend in Paris by Testing Your Industry Knowledge in Our Construction Fantasy League and Contribute to Macmillan Cancer Relief", Contract Journal, March 1999	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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